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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/766,336

01/28/2004

Shyh-Mei F. Ho

SVL920030102US1

3271

45112

7590

07/29/2008

Kunzler & McKenzie  
8 EAST BROADWAY  
SUITE 600  
SALT LAKE CITY, UT 84111

EXAMINER

ALVESTEFFER, STEPHEN D

ART UNIT

PAPER NUMBER

2175

MAIL DATE

DELIVERY MODE

07/29/2008

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/766,336	<b>Applicant(s)</b> HO ET AL.	
	<b>Examiner</b> Stephen Alvesteffer	<b>Art Unit</b> 2175	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 10 April 2008.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 29,31-37,41 and 42 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 29,31-37,41 and 42 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)            | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | Paper No(s)/Mail Date. _____                                      |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>20080306</u> .  | 6) <input type="checkbox"/> Other: _____                          |

## **DETAILED ACTION**

### ***Response to Amendment***

This Office Action is responsive to the Amendment filed April 10, 2008. Claims 29 and 34 are amended. Claims 1-28, 30, and 38-40 are cancelled. Claims 41 and 42 are new. Claim 29 is independent. Claims 29, 31-37, 41, and 42 remain pending.

The Information Disclosure Statement (IDS) filed March 6, 2008 has been considered by the examiner.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 29 and 31-33 are rejected under 35 U.S.C. 103(a) as being unpatentable over Bach et al. (hereinafter Bach '739), United States Patent 5,781,739, Bach et al. (hereinafter Bach '660), United States Patent 6,141,660, and Francis et al. (hereinafter Francis), United States Patent number 6,665,861.

**Regarding claim 29**, Bach '739 teaches a method for automatically generating a web interface for an MFS-based IMS application, comprising: importing MFS-based IMS source files corresponding to an MFS-based IMS application (see Bach '739 column 2 lines 62-66; "...browse and download MFS source information"); generating a middleware application configured to interface between a client application and the

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MFS-based IMS application (see Bach '739 column 10 lines 44-49; "*The generated CGI-BIN program invokes this class to parse the input string from the Web browser*", code is generated to interface between a web browser and the IMS database); and automatically deploying the generated middleware application to one or more servers (see Bach '739 column 14 lines 8-13; "*Places the CGI-BIN executable file in the directory which was user specified in IMS Web Studio ('Web Server's CGI Path')*". This directory must be the same directory that their Web server uses to look for CGI-BIN scripts", the CGI-BIN directory is the repository that stores the generated middleware applications to interface with the MFS-based IMS applications).

Bach '739 does not teach an interface accepting a parameter set provided as a single input. Configuring an interface to execute several different instruction sets in response to a parameter set provided as a single input was well known in the art at the time the invention was made. Bach '739 teaches using batch processing to execute several functions at once in response to a parameter set provided as a single input to the interface (see Bach '660 column 17 lines 54-67; "*The RUNSCRIPT command runs a file that contains all of the necessary commands. A command script can be created either by using the CDT 400 GUI 402 or by saving the script when prompted while using the QUIT command. The option of allowing for batch processing with the RUNSCRIPT command means the user no longer has to go through all the panels of the CDT 400 GUI 402 and can simply modify script files (or write their own) and run them through the CLI 403. In theory, this should save them a lot of time (the user may not want to go through all the GUI 402 panels for each database if they have a substantial number of*

*databases). Users can also concatenate several script files into one and just run that single file through the CLI.”). It would have been obvious to one of ordinary skill in the art at the time the invention was made to perform the operations as described by Bach ‘739 on an interface using batch files provided as a single input as described by Bach ‘660, for the purpose of automating tedious multiple-command entry on an interface.*

Neither Bach ‘739 nor Bach ‘660 teaches generating at least one eXtended Markup Language Metadata Interchange (XMI) file for the MFS-based IMS application associated with the imported MFS-based IMS source files. Francis teaches exchanging data between web applications and database applications using XMI (see Francis column 6 line 59 through column 7 line 22; *“these models are persisted in an XML Metadata Interchange (XMI) form although other forms may be used without departing from the spirit and scope of the present invention. XMI is a new standard from the Object Management Group (OMG) that combines the Unified Modeling Language (UML) with the Extensible Markup Language (XML) standard. This new open industry standard combines the benefits of the web-based XML standard for defining, validating, and sharing document formats on the web with the benefits of the object-oriented Unified Modeling Language (UML), a specification of the OMG that provides application developers a common language for specifying, visualizing, constructing, and documenting distributed objects and business models”*). XMI was a well-known standard for exchanging data at the time the invention of the instant application was made. It would have been obvious to one of ordinary skill in the art at the time the invention was made to exchange the data in the application taught by Bach ‘739/’660

using XML as taught by Francis in order to exchange data while taking advantage of the benefits of both XML and UML.

**Regarding claim 31**, Bach '739/Bach '660/Francis teaches loading a script comprising the parameter set from persistent storage (see Bach '660 column 17 lines 4-12; *"the user can enter commands one by one, or can run a command script that contains all of the commands"*).

**Regarding claim 32**, Bach '739/Bach '660/Francis teaches that the script comprises a plurality of parameter sets each associated with a different MFS-based IMS application (see Bach '660 column 17 lines 4-12; *"the user can enter commands one by one, or can run a command script that contains all of the commands"*, the command line interface described by Bach '660 is capable of comprising a plurality of parameter sets each associated with a different MFS-based IMS application).

**Regarding claim 33**, Bach '739/Bach '660/Francis teaches that the parameter set is manually entered, the method further comprising storing the manually entered parameter set (see Bach '660 column 17 lines 54-67; *"A command script can be created either by using the CDT 400 GUI 402 or by saving the script when prompted while using the QUIT command. The option of allowing for batch processing with the RUNSCRIPT command means the user no longer has to go through all the panels of the CDT 400 GUI 402 and can simply modify script files (or write their own) and run them through the CLI 403"*).

Claims 34, 35, 41, and 42 are rejected under 35 U.S.C. 103(a) as being unpatentable over Bach '739 (5,781,739) *supra*, Bach '660 (6,141,660) *supra*, Francis (6,665,861) *supra*, and Womble, United States Patent 5,488,648.

**Regarding claim 34**, Bach '739/Bach '660/Francis teaches every limitation of claim 34, but does not explicitly disclose that the interface comprises a plurality of modes, each mode involving a different level of user interaction. However, it was well known in the art at the time the invention was made that some, if not most, command-line programs allow users to enter no parameters, some parameters, or all parameters to operate the program, as evidenced by Womble (see Womble column 13 lines 33-43; *"This portion of the LOGEVENT program is invoked from the DOS prompt. If no parameters are supplied, a menu system will prompt the user for the input to the program. Command line parameter switches can appear anywhere on the command line as long as they are separated by spaces. Switches consists of a hyphen, a letter and a plus or minus sign to turn the switch on or off (some switches are followed by a string instead of the plus and minus sign) and most switches have a default setting. It is only necessary to supply a switch if the default setting is not satisfactory"*). It would have been obvious to one of ordinary skill in the art at the time the invention was made to provide command line interface modes accepting none, some, or all possible parameters as taught by Womble in the code generating interface as taught by Bach '739/Bach '660/Francis in order to provide different levels of interaction with the invention.

**Regarding claim 35**, Bach '739/Bach '660/Francis/Womble teaches that one mode comprises a batch mode that reads the parameter set from persistent storage (see Bach '660 column 17 lines 54-67; *"The RUNSCRIPT command runs a file that contains all of the necessary commands. A command script can be created either by using the CDT 400 GUI 402 or by saving the script when prompted while using the QUIT command. The option of allowing for batch processing with the RUNSCRIPT command means the user no longer has to go through all the panels of the CDT 400 GUI 402 and can simply modify script files (or write their own) and run them through the CLI 403. In theory, this should save them a lot of time (the user may not want to go through all the GUI 402 panels for each database if they have a substantial number of databases). Users can also concatenate several script files into one and just run that single file through the CLI."*).

**Regarding claim 41**, Bach '739/Bach '660/Francis/Womble teaches that one mode comprises a novice mode that prompts a user for each parameter in the parameter set (see Womble column 13 lines 33-43; *"Command line parameter switches can appear anywhere on the command line as long as they are separated by spaces. Switches consists of a hyphen, a letter and a plus or minus sign to turn the switch on or off (some switches are followed by a string instead of the plus and minus sign) and most switches have a default setting. It is only necessary to supply a switch if the default setting is not satisfactory"*).

**Regarding claim 42**, Bach '739/Bach '660/Francis/Womble teaches that one mode comprises an expert mode that prompts a user to enter the parameter set as a



single entry (see Womble column 13 lines 33-43; *"If no parameters are supplied, a menu system will prompt the user for the input to the program"*).

Claim 36 is rejected under 35 U.S.C. 103(a) as being unpatentable over Bach '739 (5,781,739) *supra*, Bach '660 (6,141,660) *supra*, Francis (6,665,861) *supra*, and Dan et al. (hereinafter Dan), United States Patent number 6,560,639.

**Regarding claim 36**, Bach '739/'660/Francis explicitly teaches every limitation of claim 36 except presenting an error message in response to an error condition triggered when generating the at least one XML file. However, including an error message to respond to application errors was well known in the art at the time the invention was made, as evidenced by Dan (see Dan column 5 lines 1-11; *"error report manager may report any error in intended user changes to a requested web page"*). It would have been obvious to one of ordinary skill in the art at the time the invention was made to include an error module as described by Dan in the invention of Bach '739/Bach '660/Francis for the purpose of handling error conditions in the application.

Claim 37 is rejected under 35 U.S.C. 103(a) as being unpatentable over Bach '739 (5,781,739) *supra*, Bach '660 (6,141,660) *supra*, Francis (6,665,861) *supra*, and Snover et al. (hereinafter Snover), United States Patent Application Publication 2004/0230987.

**Regarding claim 37**, Bach '739/Bach '660/Francis teaches every limitation of claim 37 except that importing comprises importing a plurality of MFS-based IMS source

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files from a single directory in response to a single parameter. However, it was well known in the art at the time the invention was made that a wildcard character can be entered on many command line interfaces to specify several files using a single parameter, as indicated by Snover (see Snover paragraph [0054]; *"the service could perform wildcard expansion on a filename entered as "A\*" on the command line. Before the second pass, the Name member contains "A\*" as it was entered on the command line. During the second pass, the Filename service may locate a set of files starting with A and store them in the Arraylist F"*). It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the wildcard expansion technique of Snover with the web interface generator of Bach '739/Bach '660/Francis in order to make importing several files at the command line less tedious.

### ***Response to Arguments***

Applicant has cancelled claims 7 and 25, previously objected to due to informalities. Accordingly, the objections claims 7 and 25 are withdrawn.

Applicant's arguments filed April 10, 2008 have been fully considered but they are not persuasive. In response to applicant's arguments against the references individually, one cannot show nonobviousness by attacking references individually where the rejections are based on combinations of references. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981); *In re Merck & Co.*, 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986).

Bach '739 is seen to teach the main novelty of Applicants' invention. The references combined with Bach '739 are cited only for their explicit teachings of limitations that were well known in the art at the time the invention was made. Specifically, Bach '660, Womble, Dan, and Snover teach well known features of command line interfaces at the time (such as DOS). Francis is relied upon only because of its teaching of XML, which is a relatively new standard for sharing XML data.

### ***Conclusion***

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Stephen Alvesteffer whose telephone number is

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(571)270-1295. The examiner can normally be reached on Monday-Friday 9:30AM-6:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, William Bashore can be reached on (571)272-4088. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Stephen Alvesteffer  
Examiner  
Art Unit 2175

/S. A./  
Examiner, Art Unit 2175

/William L. Bashore/  
Primary Examiner, Art Unit 2175